IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applica	ation of:)	Group Art Unit:	3773
	Michael HOGENDIJK)	•	
Serial No.:	10/772,840)	Examiner:	Vy Q BUI
Filed:	February 4, 2004)	Confirmation No.:	6012
For:	RIBBON-TYPE VASCULAR PROSTHESIS HAVING STRESS- RELIEVING ARTICULATION AND METHODS OF USE)		
		-	San	Diego, California

Mail Stop: Petition Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

DECLARATION

- I, Janelle Humphrey, declare:
- I work in the capacity of a Patent Prosecution Paralegal with Luce, Forward, Hamilton & Scripps, LLP, with a business address of 11988 El Camino Real, Suite 200, San Diego, California 92130. My home address is 6346 Osler Street, San Diego, California 92111.
- I make this declaration in support of the accompanying petition in the aboveidentified patent application for revival due to failure to reply to an Office Action dated October 18, 2007.
- 3. On February 22, 2008, Mr. DeHaemer, an attorney at the firm, gave me a chart generated using data from, private PAIR website identifying all applications which have had a status change within the prior six months.
- 4. On February 22, 2008, by comparing the chart identified above to our docketing system, I discovered that an Office Action was mailed on October 18, 2007, for United States Patent Application No. 10/772,840 (our reference 31530-1050), but there was no record of the Office Action in the docket system.
- 5. The mail, when it arrives at Luce Forward, is opened, date stamped, and entered into our back-up docketing system by our department head legal secretary. These documents are then hand delivered to me for entry into our primary docket system. I have examined both.

docketing systems and neither docketing system has an entry for an Office Action mailed October 18, 2007, for United States Patent Application No. 10/772.840.

- 6. Enclosed with this Declaration please find, as Exhibit 1, a Due Date report from the docket system of all items due during two weeks surrounding the January 18, 2008, due date of the non-received Office Action. This report is included to indicate that mail is docketed on a consistent and timely basis. Although the report has an entry for the non-received Office Action, the Office Action was not entered into the docket system until February 22, 2008. To protect client and application confidentiality, client names and other confidential information on the Due Date report have been redacted.
- 7. Also enclosed, Exhibit 2, is a copy of an Actions Due page of the docket record concerning the non-received Office Action. As indicated in the "Date Created" field, the entry for the Office Action was entered into the docket system on February 22, 2008, the date which I discovered the missing Office Action.
- Finally, enclosed is a copy of the Remarks section, Exhibit 3, of the docket record for the present case. There are no entries between the mailing date of the non-received Office Action (October 18, 2007) and the date I discovered the Office Action.
- 9. The delay in filing the required reply to the Office Action prior to the due date was unavoidable. Luce Forward uses great care and diligence in maintaining our docket systems. We exercise this care and rely upon the ordinary and trustworthy agency of the United States Postal Service as an instrumentality to conduct the important function of delivering correspondence from the United States Patent and Trademark Office.
- 10. I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of title 18 of the United States Code and that such willful statements may jeopardize the validity of the application or any patent issued thereon.

Signature: Someon Home	~
Name: Janelle Humphrey	0
Date: March 5, 2008	

Wednesday,	Wednesday, March 05, 2008	Due Date	Due Date List By Date 14-Jun-2008 To 25-Jun-2008			Page: 1
Due Date Indicator	Action Due Action Type	Case Number/SubCase	se Status Client	App Number Pat Number	App Date Iss Date	Attys
14-Jan-2008 Reminder	I Month to OA Due Date US-3 Month Office Action	31530-1010 United States of America Title: VASCULAR PROTHES!	31530-1010 Published United States of America United States of America Title: VASCULAR PROTHESIS AND METHODS OF USE [MH-001]		13-Jan-2003	MJD
16-Jan-2008 Reminder	2 mo. / Annuity Due Annuity	26015-P06 Canada Title: IRRADIATION SYSTEM	26015-P06 Granted 2,157,907 Canada 2,157,907 Canada 2,157,907 Cinada 2,157,907 Cinada 2,157,907 Cinada 2,157,907 Cinada 2,157,907 Cinada 2,157,907 Cinada 2,157,907	2,157,907 2,157,907 SPORTED ARTICLE CARRIERS	16-Mar-1994 04-Jan-2000	DEH PKH KH
16-Jan-2008 Reminder	2 mo. / Annuity Due Annuity	26015-P08 Australia <i>Tide</i> : IRRADIATION SYSTEM	26015-P08 Granted 64122,94 Australia 674404 Granted FIRE PRADIATION SYSTEM UTILIZING CONVEYOR-TRANSPORTED ARTICLE CARRIERS	64122/94 674404 SPORTED ARTICLE CARRIERS	16-Mar-1994 09-Apr-1997	PKH
16-Jan-2008 Reminder	2 mo. / Annuity Due Annuity	26015-P14 Hong Kong	Pending	02100136.3	09-Jan-2002	DEH PKH KH
16-Jan-2008 Reminder	3 Months Until Response Due Foreign Office Action	31698-1103 Japan Tide: METHODS AND APPAR COUPLED WITH IMPRO [JM-009-3 JP]	31698-1103 Published 2002-563865 19-Dec- Lapan Title: METHODS AND APPARATUS FOR A STENTING COMPRISING ENHANCED EMBOLIC PROTECTION TOUPLED WITH IMPROVED PROTECTION AGAINST RESTENOSIS AND THROMBUS FORMATION [JM-699-3 JP]	2002-563865 USING ENHANCED EMBOLIC P	19-Dec-2001 ROTECTION ORMATION	MJD
16-Jan-2008 Due Date	3 Month OA Due Date US-3 Month Office Action	32584-1030 United States of America Title: HYDROPONIC DEVICE	32584-1030 Published United States of America United States of America Title: HYDROPONIC DEVICE AND HYDROPONIC POT THEREOF	10/535,727	25-Nov-2003 MPB	MP
18-Jan-2008 Reminder	6 mo. / Annuity Due Annuity	26015-P80 Granted 107234,062 26015-P80 Granted 6,844,579 THE VEYTEM FOR ANN METHOD OF 100 ANN ATTIVE CORP. STORE CO. AN ASTITUTE OF ANN ASTIVE CORP. STORE CO. AND ASTIVE CO.	Granted Granted	10/224,062 6,844,557	20-Aug-2002 18-Jan-2005	DEH

Wednesday, N	Wednesday, March 05, 2008	Due Date	Due Date List By Date			Page: 2
	×	14-Jan-200	14-Jan-2008 To 25-Jan-2008			
Due Date Indicator	Action Due Action Type	Case Number/SubCase Country	se Status Client	App Number Pat Number	App Date Iss Date	Attys
18-Jan-2008	3 Month Due Date	31530-1050	Published	10/772,840	04-Feb-2004	MJD
Due Date	US-Final Office Action	United States of America				
		Title: RIBBON-TYPE VASCULAR PR METHODS OF USE (NVS-1050)	Title: RIBBON-TYPE VASCULAR PROSTHESIS HAVING STRESS-RELIEVING ARTICULATION AND METHODS OF USE (NVS-1050)	S-RELIEVING ARTICULATION	AND	
19-Jan-2008	2 Months Until Response Due	30238-444	Pending	2,509,674	12-Dec-2003	MPB
Reminder	Foreign Office Action	Canada	1			
20-Jan-2008	disclosure	30238-1087	Published	1897-2007	27-Jun-2007	МРВ
		Tide: MULTIPURPOSE EXERCISE SYSTEM	CISE SYSTEM			
20-Jan-2008	DDL Year 4 MF w/surcharge	31981-6/P02	Granted	09/228,929	12-Jan-1999	MPB
Due Date	DDL Year 4 MF	United States of America		6,681,398	20-Jan-2004	KAC
		Title: Systems, devices and met	Title: Systems, devices and methods for reviewing selected signal segments			
21-Jan-2008	2 mo. / Annuity Due	26015-P03	Granted			DEH
Reminder	Annuity	Belgium		0746870		PKH
		Title: IRRADIATION SYSTEN	Title: IRRADIATION SYSTEM UTILIZING CONVEYOR-TRANSPORTED ARTICLE CARRIERS	ORTED ARTICLE CARRIERS		Ϋ́H
21-Jan-2008	3 mo. / Annuity Due	30289-1222	Published	05738690.6	21-Apr-2005	MPB
Reminder	Annuity	European Patent Convention	tion			
		<i>Title:</i> METHOD AND APPAR NETWORK	Tide: METHOD AND APPARATUS FOR WORD OF MOUTH SELLING VIA A COMMUNICATIONS NETWORK	ING VIA A COMMUNICATION	śs	
21-Jan-2008	1 Month to Final OA Due Date	31530-1100	Published	11/154,056	15-Jun-2005	MJD
Reminder	US-Final Office Action	United States of America				
		Title: PROSTHESIS, DELIVER [NVS-1100]	Title: PROSTHESIS, DELIVERY SYSTEM AND METHOD FOR NEUROVASCULAR ANEURYSM REPAIR [NVS-1100]	UROVASCULAR ANEURYSM	REPAIR	

Wednesday, N	Wednesday, March 05, 2008	Due Date List By Date	ist By Date			Page: 3
		14-Jan-2008 T	14-Jan-2008 To 25-Jan-2008			
Due Date Indicator	Action Due Action Type	Case Number/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
23-Jan-2008	3 mo to non-prov/FF DDL	34306-2/P03	Pending	60/926,054	23-Apr-2007	PKH
Due Date	1 mo to non-prov/FF DDL	United States of America				MJM
24-Jan-2008 Due Date	1st Extension Final OA Due US-Final Office Action	30289-1240 United States of America	Published	11/044,544	26-Jan-2005	МРВ
		$\emph{Title}\colon \text{METHOD}$ and apparatus for constructing a networking database and system proactively	S FOR CONSTRUCTING A N	NETWORKING DATABASE AND	SYSTEM	
24-Jan-2008	3 Months Until Response Due	31188-1063	Published	200262035	07-Mar-2002	MJD
Reminder	Foreign Office Action	Japan Japan Jithe: SYSTEMS AND METHODS FOR CONDUCTING ELECTRONIC COMMERCE TRANSACTIONS Title: DEDITIONS AND CONTRACTOR OF CON	FOR CONDUCTING ELECT	RONIC COMMERCE TRANSACT	NOIS	
25-Jan-2008	3 Months Until Response Due	30238-10617	Pending	IAP 20070167	29-Sep-2005	MPB
Reminder	Foreign Office Action	Uzbekistan				

Actions Due Wednesday, March 05, 2008 Page: 1

Case Number: 31530-1050 SubCase:

Country: US United States of America Case Type: CIP

Status: Published Filing Date: 04-Feb-2004

Action Type: US-Final Office Action Base Date: 18-Oct-2007

Application #: 10/772,840 Response sent date:

Action(s) Due	Due Date	Indicator	Taken
1 Month to Due Date	18-Dec-2007	Reminder	
3 Month Due Date	18-Jan-2008	Due Date	
1st Extension f/Final OA	18-Feb-2008	Due Date	
2nd Extension f/Final OA	18-Mar-2008	Due Date	
6 Month Final OA Date	18-Apr-2008	Final	

User ID: jhumphrey

Date Created: 22-Feb-2008

Last Update: 22-Feb-2008

Country Application

Wednesday, March 05, 2008

Page: 1

Case Number: 31530-1050

Country: US

SubCase:

Invention Title: RIBBON-TYPE VASCULAR PROSTHESIS HAVING STRESS-RELIEVING ARTI

Client: NovoStent Corporation

United States of America

Case Type: CIP

Application Status: Published

Application Number: 10/772,840

Filing Date: 04-Feb-2004

Publication Number: 2004-0158314

Publication Date: 12-Aug-2004

Patent Number: 2004-015831

Issue Date:

Parent/PCT Number: 10/342,427

Parent/PCT Date: 13-Jan-2003

Parent Issue Number:

Parent Issue Date : Expiration Date:

Tax Schedule: LE Confirmation #: 6012

Patent Term Adjustment: 0

Agent:

Agent Reference #:

Family Reference:

Remarks: This application is a CIP of 10/342,427 filed 01-13-2003 (31530-1010) which claims priority to 60/436,516 filed 12-24-2002

05-11-2004: Received filing receipt. Reported to client.

05-25-2004: Five (5) sheets of formal drawings filed. 04-15-2005: Supplemental IDS w/42 cited references.

03-28-2007: Received Office Action w/mailing date of March 26, 2007.

06-15-2007: Reviewed ISR for co-pending PCT, no IDS needed, reference previously

06-21-2007: Email to client enclosing draft response to OA.

06-25-2007: Email from client approving our draft response and instructed us to

proceed with the filing of the Response to OA.

06-26-2007: Response to OA e-filed with the USPTO.

2-22-2008: received OA from USPTO dated 10-18-2007. Due 01-18-2008 DDL 04-18-2008. This application was lost in the mail, but was found when looking through PAIR.

03-03-2008: Filed a Rqst fo r the OA to be remailed and the clock to be restarted.

User ID: jberry

Date Created: 10-Feb-2004 Last Update: 03-Mar-2008

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	Michael HOGENDIJK)	Examiner:	Vy O BUI
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San Diego, California

Mail Stop: Petition Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

DECLARATION

I, Michael J. DeHaemer, Jr., declare:

- I am an Associate Attorney at Luce, Forward, Hamilton & Scripps, LLP, and am registered to practice before the USPTO. I have a business address of 11988 El Camino Real, Suite 200, San Diego, California 92130. My home address is 11134 Tampere Ct., San Diego, CA 92131.
- I make this declaration in support of a petition submitted in the above-identified patent application for non-receipt of a Final Office Action dated October 18, 2007.
- 3. On or about February 22, 2008, I accessed private PAIR and downloaded the XML file identifying applications which have had a status change within the prior six months. Using a simple script, I extracted the data from the XML file and created a chart showing the recent changes. I gave the chart to Janelle Humphrey to check against our docket system.
- 4. Ms. Humphrey later notified me that the data from private PAIR showed that a Final Office Action had been mailed in the above-identified application, but that there was no record of the Office Action in the file or docket system. A check of our secondary docket system confirmed that we had never received the Office Action.

- After consulting the MPEP, the USPTO Inventor's Assistance Center, and Henry Yuen (TC-3700 SPRE), I filed the accompanying petition requesting that the Office Action be re-mailed and that the time to respond to be reset.
- 6. I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of title 18 of the United States Code and that such willful statements may jeopardize the validity of the application or any patent issued thereon.

Signature:

Name: M. DeHaemer, Jr., Reg. No. 39,164

Date: March 5, 2008

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